INVESTMENT COUNSELING/MONEY MANAGEMENT SUPPLEMENTAL APPLICATION

Name of Applicant:_____

1. Please explain the extent of the firm's money management activities for clients:

2. Does your firm have any business relationship with any of your clients other than providing legal services? No____ Yes____ If Yes, please explain.

3. Does your firm have the authority to write/sign checks for any of your clients? No____ Yes____ If Yes, please explain.

4. Does your firm counsel clients in regards to their assets, or make investments for them? No____ Yes____ If Yes, please explain.

5. Has anyone in your firm ever served as the Trustee of a client's Trust? No____ Yes____ If Yes, please explain.

6. Does your firm negotiate or arrange financing other than normal contract negotiations? No____ Yes____ If Yes, please describe.

7. Does your firm receive any compensation from lenders for arranging financing? No____ Yes____ If Yes, please describe and tell the form of such compensation such as percentage, flat fee, commission, etc.

I/We understand that the information submitted herein becomes a part of the applicant's Lawyers' Professional Liability application and is subject to the same representation and conditions.

_____ _____

Signature of Applicant (Must be signed by Partner, Owner or Officer) **Date**